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December 22, 1994

0300 A/N  
PATENT APPLICATION  
Docket No.: KPN93-09ACA



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter A. Ronzani, Jeffrey Jacobsen,  
Ronald Gale, Stephen Pombo  
Serial No.: 08/327,113  
Filed: October 21, 1994  
For: HEAD-MOUNTED DISPLAY SYSTEM,

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington D.C. 20231	
on <u>December 22, 1994</u>	<u>Stacey Gross</u>
Date	Signature
<u>Stacey Gross</u>	
Typed or printed name of person signing certificate	

TRANSMITTAL OF VERIFIED STATEMENT

The Honorable Commissioner  
of Patents and Trademarks  
Washington, D. C. 20231

Sir:

Please file the enclosed Verified Statement claiming Small Entity Status in the above-identified patent application.

Two duplicate copies are enclosed for accounting purposes.

Respectfully submitted,

Thomas O. Hoover  
Thomas O. Hoover  
Registration No. 32,470  
Attorney for Applicants

Lexington, MA 02173  
Dated: December 22, 1994



12-22-94


TOH/smg

This will acknowledge receipt of:

Transmittal of Verified Statement (2 copies); Executed  
Verified Statement Claiming Small Entity Status;  
Certificate of Mailing

Applicants:	Ronzani et al.
Serial No.:	08/327,113
Filed	October 21, 1994
Title:	Head-Mounted Display System
Docket No.:	KPN93-09ACA

Date Received in The PTO:

 Peter A. Ronzani, Jeffrey Jacobsen,  
Applicant or Patentee? Ronald Gale, Stephen Pombo Attorney's  
Serial or Patent No.: 08/327,113 Docket No.: KPN93-09ACA  
Filed or Issued: October 21, 1994  
For: HEAD-MOUNTED DISPLAY SYSTEM

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf  
of the concern identified below:

NAME OF CONCERN Kopin Corporation  
ADDRESS OF CONCERN 695 Myles Standish Boulevard, Myles Standish Industrial Park  
Taunton, Massachusetts 02780

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled HEAD-MOUNTED DISPLAY SYSTEM

by inventor(s) Peter A. Ronzani, Jeffrey Jacobsen, Ronald Gale  
and Stephen Pombo  
described in

- ☐ the specification filed herewith  
☒ application serial no. 08/327,113, filed October 21, 1994.  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
[ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
[ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING \_\_\_\_\_ John C.C. Fan, Ph.D.  
TITLE OF PERSON OTHER THAN OWNER \_\_\_\_\_ President  
ADDRESS OF PERSON SIGNING \_\_\_\_\_ Kopin Corporation, 695 Myles Standish Blvd.  
\_\_\_\_\_ Myles Standish Industrial Park, Taunton, MA 02780  
SIGNATURE \_\_\_\_\_ *John C.C. Fan* \_\_\_\_\_ DATE 12/22/94